

Your new Xplan interface

Your mud-map guide to the client navigation menu

Snapshot

Quick access to your key client information & current activity...

Client

- Snapshot ^
- Dashboard
- Mindmap
- Snapshot
- Category
- ★ Key dates & disclosures

Key Information ▾

Portfolios ▾

Activity ▾

Administration Tools ▾

Advice Tools ▾

Service ▾

Test, Opex (48, Test Client) & Julie (50, Test Client) ▾

Contact ▾ Investment Insurance Recent Activity In Prog.

Dashboard

Test, Opex

Telephone/Email

Type	Value
Work Phone	(08) 8888 7777
Mobile Phone	0400 111 111
Home Email	opextest@test.com

Address

Type	Value
Residential	111 Big Street Adelaide, SA 5000

Mind map

- Retirement Income +
- Super +
- Portfolio
 - BT Wrap AUD 222,581.36
 - Testing AUD 10,861.00
 - AUD 837.76
 - Access eWrap Super AUD 504,275.91
- Test, Opex & Julie +
- Dependants +
- AC&L IP Plus AUD 3,000.00 (pa)

Key dates & disclosures

Open other key disclosure pages in Xplan

- Meetings
- Notes
- Tasks
- Workflow
- Revenue*

Client Category

Client Adviser	Opex, Consultant ▾
Client Adviser	Opex, Consultant ▾
Secondary Adviser	Opex, Advice ▾
Paraplanner	Opex, Advice ▾
Administrator	Opex, Advice ▾
Client Services Manager	Opex, Advice ▾
General Insurance Adviser	Opex, CRM ▾
Accountant	Opex, Advice ▾
Entity Status	• Client
Client Category	Test Client

Help

Category

Created on Xplan	Client	03/05/2018
Client Active Date	• Client	
Entity Status	Test Client	
Category	Opex, Consultant ▾	
Annual Fee	\$0.00	
Client Adviser	Opex, Consultant ▾	

FDS & Opt-in

Disclosure Statement Required	Yes
Next Disclosure Statement Date	15/08/2020

Key Information

Your client's fact-find style data lives here!

The screenshot shows a financial planning software interface for a client named 'Test, Opex (48, Test Client) & Julie (50, Test Client)'. The interface is divided into several sections:

- Client Information:** A sidebar on the left contains a 'Client' section with a 'Snapshot' dropdown. Under 'Key Information', there are links for 'Key details' (highlighted with an orange box), 'Contact details', 'Dependant details', 'Employment details', 'Social Security details', 'Bank details', 'Estate details', 'Domicile history', 'Interests', 'Personal habits', 'Income & Expenses', 'Insurance', 'Assets & Liabilities' (with sub-links for 'Balance Sheet', 'Annuities', 'Super & Pensions', 'Net Position'), 'Objectives', 'Risk Profile', 'Groups', 'Privacy & Compliance' (with sub-links for 'FSG', 'Identity Check', 'External Advisers', 'Consent'), 'Portfolios', 'Activity', and 'Administration Tools'.
- Personal Details:** A table showing client information:

Field	Value	Client
XPLAN Entity ID	1701	Client
External Identifier		
Client reference/File number		
- Goals:** A table with columns for 'Action', 'Owner', and 'Category / Description'. It lists two goals:

Action	Owner	Category / Description
[Edit] [X]	Client	Protection Recommend level of cover
[Edit] [X]	Client	Savings and Investment Save for deposit
- Insurance by policy:** A table showing policy details:

Action	Underwriter/Plan Name	Owner	Policy #	Policy Type	Policy Status	Life	TPD
[Edit] [X] [Q]	AC&L IP Plus	Test, Opex	776655	Term	Inforce	\$0.00	\$0.00
[Edit] [X] [Q]	AMP Elevate Life Cover Plus	Test, Opex	777777	Term	Inforce	\$200,000.00	\$100,000.00
[Edit] [X] [Q]	Asteron Life Life and TPD Special	Asteron Super Fund Test, Opex	93857	Term	Inforce	\$500,000.00	\$275,000.00
- FSG (Financial Services Guide):** A table showing FSG details:

Field	Value
FSG Version	1
FSG Version Date	07/07/2017
Date FSG Provided	21/05/2018
Attachment	
Adviser Profile Version	
Adviser Profile	
Adviser Profile Date	
FSG Issuer	
Method Of Delivery	Email
FSG Comment	
Created Time	21/05/2018 6:56:59 pm
Last Modified Time	13/10/2020 7:42:32 pm
Last Modified By	1717

Portfolios

Your client's investment holdings (if you have IPS licence)...

Same as before - no change to this menu!

Quick nav (\) 🔍

Client

Snapshot ▾

Key Information ▾

Portfolios ^

- Portfolio ^
- Position**
- Performance ▾
- Risk
- Attribution
- Contribution
- Asset Allocation
- Transactions
- Recurring Transactions
- Cash Summary
- Cash Match
- Reconcile
- CGT ▾
- Settings
- Model ▾
- Reports ▾
- Client Access ▾
- Research
- Admin ▾
- Corporate Actions ▾

Activity ▾

Administration Tools ▾

Advice Tools ▾

Service ▾

Holdings Overview

<input type="checkbox"/>	Exchange	Security Name	Units	Total Cost	Cost Base
<input type="checkbox"/>	ASX	Afterpay Limited - Ordinary Fully Paid	2,736.4515	\$107,268.90	\$107,268.90
<input type="checkbox"/>	ASX	BHP Group Limited - Ordinary Fully Paid	1,726.5389	\$67,145.10	\$67,145.10
<input type="checkbox"/>	FND	FIL Investment Management (Aus) Ltd - Fidelity Australian Equities Fund	632.5161	\$20,000.00	\$20,000.00
<input type="checkbox"/>	FND	Magellan Asset Management Ltd - Magellan Global Fund	4,777.6026	\$10,000.00	\$10,000.00
<input type="checkbox"/>	FND	Magellan Asset Management Ltd - Magellan Infrastructure Fund (Unhedged)	6,989.5855	\$10,000.00	\$10,000.00
<input type="checkbox"/>	FND	Mercer Investment Nominees Ltd - Mercer Growth Fund	10,000.0000	\$10,523.00	\$10,523.00
<input type="checkbox"/>	FND	Platinum Investment Management Ltd - Platinum European Fund	33,900.6034	\$100,000.00	\$100,000.00
<input type="checkbox"/>	FND	Platinum Investment Management Ltd - Platinum International Fund	4,449.9822	\$10,000.00	\$10,000.00
<input type="checkbox"/>	FND	Schroder Investment Management Ltd - Schroder Wholesale Australian Equity Fund - Wholesale Class	8,393.4867	\$10,000.00	\$10,000.00
				\$344,937.00	

Analysis

Summary | **Asset Class Allocations** | Security Type Allocations | GICS Sector Allocations | Simulated Returns | Analytics

Display: Account:

Exclude Securities with "Other" Asset Class: Principal Asset Class:

Asset Class	Percentage
Domestic Equity	73.05%
International Fixed Interest	0.14%
Alternative	0.15%
International Property	0.15%
Domestic Fixed Interest	0.09%

Activity

Manage the daily flurry of client activity - notes, tasks, workflow, meetings, reviews.

Client

- Snapshot
- Key Information
- Portfolios
- Activity
- Administration Tools
- Advice Tools
- Service

Test, Opex (48, Test Client) & Julie (50, Test Client)

Document Filters

Filter Notes: Type

Date Range: Not Selected

Client Access: Don't filter

Contain File(s):

Notes

Reviews

Action	Type	Description	Scheduled	Status
Face to face			11/12/2020	Incomplete
Telephone			11/12/2020	Incomplete
Mailed Report		Doe archived clients appear in widget	14/08/2020	Overdue
Mailed Report		Strategic Review	14/03/2020 8:00 am	Completed

Tasks

Filter tasks by: Client, All Type, All Subtype

Range: Due(25/10/2020 - 05/11/2020) Any days

Status: Unactioned, Actioned, Pending, Review, Complete

Campaign: Select Some Options

Priority: High, Normal, Low

Subject: Exact match

Keyword(s):

Contain File(s):

Tasks (1 to 1 of 1)

Action	Id	Due Date	Type	Thread Name	Subject	Status	Priority	Group	Assignee	Base
	54	5/11/2020	01 - Engage		Review Questionnaire has been completed	Complete	Normal		Opex, Con...	

Administration Tools

Find your pure admin functions here including wizards, generate templates & other admin tools

The screenshot displays a web application interface with the following components:

- Quick nav (\)** search bar at the top left.
- Client** dropdown menu containing:
 - Snapshot
 - Key Information
 - Portfolios
 - Activity
 - Administration Tools** (highlighted with an orange box, containing:
 - Opex Wizards
 - Merge Report** (highlighted with an orange circle)
 - Client Access
 - Alerts
 - Complaints
 - Account Mappings
 - Audit Trail
 - Advice Tools
 - Service

- Test Onex (48, Test Client) & Julie (50, Test Client)** dropdown menu.
- Merge Report** page header (highlighted with an orange circle).
- Report Category:** Opex - Quickmerge Templates.
- Report List:**

Action Name	Type
Appendix Risk Researcher Outputs	Entity
Appendix WealthSolver Outputs	Entity
Client Focus - Notes & Attachments Report	Entity
CommPay - 1 Transaction List	Entity
CommPay - 2a Revenue Type Subtotals (individual client)	Entity
CommPay - 2b Revenue Type subtotals (related entities)	Entity
CommPay - 3 Policy List	Entity
Insurance Schedule - BY COVER - Landscape, Detailed, Inforce policies only	Entity
Insurance Schedule - Landscape, Detailed, Inforce policies only	Entity
Investment Profiles (Mercer) - Proposed	Entity
- Client Access Details** (highlighted with an orange circle):

Login Mode	Login Capability
Access Level	Advanced Access
User ID	will.test@client
Billing Department	OPEX Design
Expiry Date	
Password	*****
Change Password On Next Login	No
Can Login	No
2FA Active	
2FA Device ID	
2FA Activation Date	
- Client Portal Details**:

Factfind Status	Unlocked
Factfind Type	Detailed
<small>Used in Client Portal only</small>	
- Client Access To Do List**
- Alerts** (highlighted with an orange circle):
- Entities: client
- Compliance Rules: All

Alert Rule	Time	Entity
No Breaches		

Advice Tools

Time to get technical?

This is where you'll find all the tools & wizards to model & generate your advice

(you'll only see the various modelling tools if you have the relevant Xplan licence)

Client

- Snapshot
- Key Information
- Portfolios
- Activity
- Administration Tools
- Advice Tools**
 - Opex Wizards
 - Engage
 - Risk Researcher
 - Risk Wizard
 - WealthSolver
 - Xtools
 - Debt
 - Insurance
 - Investment
 - Lifestyle
 - Retirement
 - Social Security
 - Taxation
 - Xtools +
 - CALM**

Scenario name **Template**

Scenarios for Tool: CALM

Action	Scenario Name
<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	Proposed
<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	Proposed1
<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	smsf_prop_test
<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	SMSF Test Current
<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	Current1
<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	Miles Test
<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	Proposed

Proposals

Client Plans	Current Situation	Proposal 1	Proposal 2
AMP Flexible Lifetime Super	\$200,000.00		\$200,000.00
BT Panorama Super (Full menu)	\$305,000.00	\$305,000.00	\$305,000.00
Colonial First State FirstChoice Wholesale Personal Super		\$199,250.90	

Fees

	Current Situation	Proposal 1	Proposal 2
Administration Fees	\$412.50	\$811.00	\$412.50
Adviser Commission	\$0.00	\$1,534.23	\$0.00
...

Cashflow, Assets & Liabilities (Discounted by CPI)

Age - Opex	Net Assets (excl Non Fin Assets)	Expenditure (Including Tax)	Income and Capital Drawdown
80	~5m	~12m	~20m
81	~5m	~12m	~20m
82	~5m	~12m	~20m
83	~5m	~12m	~20m
84	~5m	~12m	~20m
92	~10m	~15m	~25m
98	~10m	~10m	~15m
100	~10m	~10m	~15m

Service

Manage the business-end of your client - marketing, revenue (CommPay), FDS & Opt-in, service levels & service delivery

(you'll only see the CommPay pages if you have the CommPay Xplan licence)

The screenshot displays a software interface for client management. On the left is a navigation menu with categories like Client, Snapshot, Key Information, Portfolios, Activity, Administration Tools, and Advice Tools. The 'Service' category is highlighted with an orange box and includes sub-items like Opex Wizards, Revenue, Fee for Service, Invoices, CommPay, Service, Category, Reviews, Service Packages, Marketing, Subscription, and Referral. The main content area is titled 'Test, Opex (48, Test Client) & Julie (50, Test Client)'. It features several sections: 'Invoices Filter' with fields for Invoice No., Invoice Status, Invoice Paid Type, Date Type, and Date Range; 'Invoices Results' with a table header (Action, Invoice No., User, Joint Invoice); 'Payments Filter' with fields for Invoice No., Type, Status, and Date Range; 'Payments Results' with a table header (Action, Id, User, Joint Payment, Status, Type, Method, Bank, Date Received, Amount); 'Marketing' and 'Subscription' tabs; 'Contact Details' with fields for Address Title, Salutation, Street, Suburb, State, Postcode, and Preferred Email; and 'Preferred Contact Details' with a note about reference only.